

**ABOUT THE TEST** FoundationOne®CDx is a next-generation sequencing (NGS) based assay that identifies genomic findings within hundreds of cancer-related genes.

### Biomarker Findings

**Microsatellite status** - MS-Stable  
**Tumor Mutational Burden** - 2 Muts/Mb

### Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix.

- CCND1** amplification
- CHEK2** loss exons 3-15
- ACVR1B** loss
- FGF19** amplification
- TP53** A159P

### Report Highlights

- Evidence-matched **clinical trial options** based on this patient's genomic findings: (p. [6](#))

#### BIOMARKER FINDINGS

**Microsatellite status** - MS-Stable

**Tumor Mutational Burden** - 2 Muts/Mb

#### GENOMIC FINDINGS

**CCND1** - amplification

6 Trials see p. [6](#)

**CHEK2** - loss exons 3-15

10 Trials see p. [8](#)

#### THERAPY AND CLINICAL TRIAL IMPLICATIONS

No therapies or clinical trials. See Biomarker Findings section

No therapies or clinical trials. See Biomarker Findings section

| THERAPIES WITH CLINICAL RELEVANCE<br>(IN PATIENT'S TUMOR TYPE) | THERAPIES WITH CLINICAL RELEVANCE<br>(IN OTHER TUMOR TYPE) |
|--|--|
| none   | none   |
| none   | none   |

#### GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

|                              |                      |                     |                      |
|------------------------------|----------------------|---------------------|----------------------|
| <b>ACVR1B</b> - loss         | p. <a href="#">4</a> | <b>TP53</b> - A159P | p. <a href="#">5</a> |
| <b>FGF19</b> - amplification | p. <a href="#">4</a> |                     |                      |

**NOTE** Genomic alterations detected may be associated with activity of certain approved therapies; however, the agents listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and exhaustive. Neither the therapeutic agents nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physician and physicians should refer to approved prescribing information for all therapies.

Therapies contained in this report may have been approved by the US FDA.

## BIOMARKER

## Microsatellite status

## RESULT

MS-Stable

## POTENTIAL TREATMENT STRATEGIES

## — Targeted Therapies —

On the basis of clinical evidence, MSS tumors are significantly less likely than MSI-H tumors to respond to anti-PD-1 immune checkpoint inhibitors<sup>1-3</sup>, including approved therapies nivolumab and pembrolizumab<sup>4</sup>. In a retrospective analysis of 361 patients with solid tumors treated

with pembrolizumab, 3% were MSI-H and experienced a significantly higher ORR compared with non-MSI-H cases (70% vs. 12%,  $p=0.001$ )<sup>5</sup>.

## FREQUENCY &amp; PROGNOSIS

MSI-H was not detected in a study of 122 hepatocellular (HCC) samples<sup>6</sup>, although smaller studies have reported MSI in 0-18% of tumors<sup>7-11</sup>, and MSI at some level has been detected in a subset of HCC tumors<sup>6</sup>. The prognostic significance of MSI in HCC has not been determined (PubMed, Jun 2022).

## FINDING SUMMARY

Microsatellite instability (MSI) is a condition of

genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor<sup>12</sup>. Defective MMR and consequent MSI occur as a result of genetic or epigenetic inactivation of one of the MMR pathway proteins, primarily MLH1, MSH2, MSH6, or PMS2<sup>12-14</sup>. This sample is microsatellite-stable (MSS), equivalent to the clinical definition of an MSS tumor: one with mutations in none of the tested microsatellite markers<sup>15-17</sup>. MSS status indicates MMR proficiency and typically correlates with intact expression of all MMR family proteins<sup>12,14,16-17</sup>.

## BIOMARKER

## Tumor Mutational Burden

## RESULT

2 Muts/Mb

## POTENTIAL TREATMENT STRATEGIES

## — Targeted Therapies —

On the basis of clinical evidence in solid tumors, increased TMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1<sup>18-20</sup>, anti-PD-1 therapies<sup>18-21</sup>, and combination nivolumab and ipilimumab<sup>22-27</sup>. In multiple pan-tumor studies, increased tissue tumor mutational burden (TMB) was associated with sensitivity to immune checkpoint inhibitors<sup>18-21,28-32</sup>. In the KEYNOTE 158 trial of pembrolizumab monotherapy for patients with solid tumors, significant improvement in ORR was observed for patients with TMB  $\geq 10$  Muts/Mb (as measured by this assay) compared with those with TMB  $< 10$  Muts/Mb in a large cohort that included multiple tumor types<sup>28</sup>; similar findings were observed in the KEYNOTE 028 and 012 trials<sup>21</sup>. At the same TMB cutpoint, retrospective analysis of

patients with solid tumors treated with any checkpoint inhibitor identified that tissue TMB scores  $\geq 10$  Muts/Mb were associated with prolonged time to treatment failure compared with scores  $< 10$  muts/Mb (HR=0.68)<sup>32</sup>. For patients with solid tumors treated with nivolumab plus ipilimumab in the CheckMate 848 trial, improved responses were observed in patients with a tissue TMB  $\geq 10$  Muts/Mb independent of blood TMB at any cutpoint in matched samples<sup>33</sup>. However, support for higher TMB thresholds and efficacy was observed in the prospective Phase 2 MyPathway trial of atezolizumab for patients with pan-solid tumors, where improved ORR and DCR was seen in patients with TMB  $\geq 16$  Muts/Mb than those with TMB  $\geq 10$  and  $< 16$  Muts/Mb<sup>31</sup>. Similarly, analyses across several solid tumor types reported that patients with higher TMB (defined as  $\geq 16-20$  Muts/Mb) achieved greater clinical benefit from PD-1 or PD-L1-targeting monotherapy compared with patients with higher TMB treated with chemotherapy<sup>34</sup> or those with lower TMB treated with PD-1 or PD-L1-targeting agents<sup>19</sup>.

## FREQUENCY &amp; PROGNOSIS

Hepatocellular carcinoma (HCC) harbors a median TMB of 3.6 mutations per megabase (mut/megabase), and 1% of cases have high TMB ( $> 20$  muts/Mb)<sup>35</sup>. In an analysis of the TCGA Liver HCC dataset,

high TMB was associated with reduced PFS and OS<sup>36</sup>. A retrospective study of 128 patients with HCC who underwent curative resection reported decreased recurrence-free survival for patients with high TMB ( $> 4.8$  Muts/Mb) compared to those with low TMB ( $\leq 4.8$  Muts/Mb) measured in tissue samples<sup>37</sup>.

## FINDING SUMMARY

Tumor mutation burden (TMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations occurring in a tumor specimen. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma<sup>38-39</sup> and cigarette smoke in lung cancer<sup>40-41</sup>, treatment with temozolomide-based chemotherapy in glioma<sup>42-43</sup>, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes<sup>44-48</sup>, and microsatellite instability (MSI)<sup>44,47-48</sup>. This sample harbors a TMB level associated with lower rates of clinical benefit from treatment with PD-1- or PD-L1-targeting immune checkpoint inhibitors compared with patients with tumors harboring higher TMB levels, based on several studies in multiple solid tumor types<sup>19-20,28</sup>.

**GENE**

## CCND1

**ALTERATION**

amplification

**POTENTIAL TREATMENT STRATEGIES**

— Targeted Therapies —

Amplification or overexpression of CCND1 may predict sensitivity to CDK4/6 inhibitors, such as abemaciclib, palbociclib, and ribociclib<sup>49-54</sup>, although as monotherapy these agents have shown limited activity in tumor types other than breast

cancer<sup>53,55</sup>. In refractory advanced solid tumors with CCND1 (n=39) or CCND3 (n=1) amplification and retinoblastoma protein expression, palbociclib resulted in SD for 39% (14/36) of patients and a median PFS of 1.8 months in the NCI-MATCH trial<sup>56</sup>; 4 patients (13%, 4/36 overall) with squamous cell carcinomas (lung, esophageal, or laryngeal) or adenoid cystic carcinoma experienced prolonged SD in this study<sup>56</sup>. Among 9 patients with CCND1-amplified advanced solid tumors, 1 patient with bladder cancer responded to ribociclib in a Phase 2 trial<sup>57</sup>.

**FREQUENCY & PROGNOSIS**

CCND1 amplification has been reported in 5-7% of

hepatocellular carcinomas (HCC) in several studies (cBioPortal, Feb 2023)<sup>58-59</sup>. Published data investigating the prognostic implications of CCND1 alterations in HCC are limited (PubMed, Feb 2023).

**FINDING SUMMARY**

CCND1 encodes cyclin D1, a binding partner of the kinases CDK4 and CDK6, that regulates RB activity and cell cycle progression. Amplification of CCND1 has been positively correlated with cyclin D1 overexpression<sup>60</sup> and may lead to excessive proliferation<sup>61-62</sup>.

**GENE**

## CHEK2

**ALTERATION**

loss exons 3-15

**POTENTIAL TREATMENT STRATEGIES**

— Targeted Therapies —

Limited clinical data indicate that CHEK2 inactivation may predict sensitivity to PARP inhibitors. Patients with CHEK2-altered prostate cancer have experienced clinical responses to PARP inhibitors<sup>63-65</sup>. Clinical benefit has been observed for patients with ovarian<sup>66</sup> and testicular<sup>67</sup> cancers treated with PARP inhibitors. In a study of patients with metastatic breast cancer, 8 patients with CHEK2 mutation did not respond to olaparib treatment<sup>68</sup>. One study of patients with breast cancer reported that carriers of the CHEK2 H371Y mutation have a higher likelihood of response to neoadjuvant chemotherapy<sup>69</sup>, whereas another

study found that those who carry CHEK2 mutations have a lower frequency of objective clinical responses to neoadjuvant therapy<sup>70</sup>. A third study reported that the CHEK2 1100delC mutation is not associated with differential efficacy of chemotherapy and endocrine therapy in patients with metastatic breast cancer<sup>71</sup>.

**FREQUENCY & PROGNOSIS**

Somatic CHEK2 mutations have been reported in 0-3% of various solid tumors, with the highest incidence reported in prostate, brain, endometrial, urothelial, and skin tumors (COSMIC, Jan 2023)<sup>72</sup>. In breast cancer, certain CHEK2 mutations are associated with higher grade and larger tumors as well as bilateral disease<sup>73</sup>. A study reported that a polymorphism in CHEK2 was associated with worse survival of patients with GBM, but this association lost significance after adjusting for other prognostic factors<sup>74-75</sup>. Another study in prostate cancer reported that CHEK2 expression is decreased in higher grade tumors and that CHEK2 is a tumor suppressor that decreases the growth of

prostate cancer cells and regulates androgen receptor signaling<sup>76</sup>.

**FINDING SUMMARY**

CHEK2 encodes the protein checkpoint kinase 2, a serine/threonine kinase that plays an important role in the DNA-damage response; it is a putative tumor suppressor<sup>77-80</sup>. Alterations such as seen here may disrupt CHEK2 function or expression<sup>81-91</sup>.

**POTENTIAL GERMLINE IMPLICATIONS**

Germline CHEK2 mutation has been associated with cancer susceptibility of low to moderate penetrance, especially in hereditary breast cancer<sup>92</sup>. CHEK2 germline mutation has been identified in approximately 2.5% of familial or high-risk breast cancer cases<sup>93-94</sup>. Although heterozygous germline CHEK2 mutation increases breast cancer risk two- to three-fold, it is not associated with younger age at diagnosis<sup>94-95</sup>. In the appropriate clinical context, germline testing of CHEK2 is recommended.

**GENE**

**ACVR1B**

**ALTERATION**

loss

**POTENTIAL TREATMENT STRATEGIES**

— Targeted Therapies —

There are no approved therapies available to address genomic alterations in ACVR1B. Several ALK4 inhibitors are in development<sup>96-99</sup>, and inhibitors of Activin A, a ligand for ALK4 and other ALK-family receptors, are in clinical trials<sup>100</sup>. However, further study is required to delineate the respective oncogenic and tumor-suppressive functions of ALK4 and to determine any associations between genomic alterations in ACVR1B and the potential clinical benefit of ALK4 signaling inhibitors.

**FREQUENCY & PROGNOSIS**

Deletion or loss of heterozygosity of ACVR1B has been predominantly reported for patients with pancreatic cancer (21-34%)<sup>101-102</sup> and has been associated with numerically shorter PFS and OS (p=0.89 and p=0.66)<sup>102</sup>, as well as the onset of pancreatic cancer in preclinical studies<sup>103</sup>. By contrast, lower rates of ACVR1B alterations have been reported in other cancers, with highest frequencies of up to 5% reported in colorectal, endometrial, and small bowel adenocarcinoma samples<sup>104-106</sup>, whereas amplification has been mainly reported in urothelial carcinoma (3.1%) samples<sup>107</sup>. One study reported significant high expression of ACVR1B in gastric tumor samples compared with non-cancerous tissue, which was significantly associated with advanced tumor stages and poor patient outcomes<sup>108</sup>. Mutations in ACVR1B have been identified in 6.3% (2/32) of patients with small cell lung cancer, which were associated with higher levels of neuron-specific enolase (p=0.013)<sup>109</sup>. Significant low expression of

ACVR1B has been reported in glioma tumor samples relative to non-cancerous tissues<sup>110</sup>.

**FINDING SUMMARY**

ACVR1B encodes ALK4, also known as activin receptor 1B, which mediates TGF-beta signaling, including for ligands such as Activin and Nodal, through regulation of SMAD transcription factors<sup>101,111-113</sup>. ACVR1B is reported to have potential oncogenic roles in some cancer types, such as prostate cancer<sup>114</sup>, and potential tumor-suppressive functions in others, such as thyroid<sup>115</sup> and pancreatic<sup>101-102</sup> cancers; however, one preclinical study suggested that signaling through ALK4 may promote pancreatic cancer stem cell functions<sup>112</sup>. A single-nucleotide polymorphism in ACVR1B was associated with risk of lung cancer in never smokers exposed to second-hand smoke<sup>116</sup>.

**GENE**

**FGF19**

**ALTERATION**

amplification

**POTENTIAL TREATMENT STRATEGIES**

— Targeted Therapies —

A Phase 1 study of the FGFR4 inhibitor fisogatinib (BLU-554) for patients with advanced hepatocellular carcinoma (HCC) reported a 17% ORR (11/66, 1 CR, ongoing for >1.5 years) and 3.3-month PFS for FGF19 IHC-positive patients; patients with negative or unknown FGF19 IHC scores experienced poorer outcomes (0% ORR, 2.3-month PFS)<sup>117</sup>. A Phase 1/2 study evaluating another FGFR4 inhibitor, FGF401, demonstrated an ORR of 7.5% (4/53) and SD rate of 53% (28/53) for patients with HCC<sup>118</sup>. A Phase 1 study of the FGFR4 inhibitor H3B-6527 reported a 17% ORR (OS of 10.3 months, 46% clinical benefit rate) among

patients with HCC; enrollment of patients with intrahepatic cholangiocarcinoma (ICC) was suspended due to efficacy<sup>119</sup>. A retrospective analysis reported that 50% (2/4) of patients with HCC harboring FGF19 amplification experienced a CR to sorafenib<sup>120</sup>, though another retrospective study found patients with higher pretreatment serum levels of FGF19 experienced reduced benefit from sorafenib compared with those with lower serum FGF19 (PFS of 86 vs. 139 days, OS of 353 vs. 494 days); no difference was observed for lenvatinib<sup>121</sup>. A patient with head and neck squamous cell carcinoma (HNSCC) with 11q13 (FGF3, FGF4, FGF19) and 12p13 (FGF6 and FGF23) amplification experienced a CR lasting 9 months from a pan-FGFR inhibitor<sup>122</sup>.

**FREQUENCY & PROGNOSIS**

For patients with solid tumors, FGF19 amplification has been reported most frequently in breast cancer (17%), head and neck cancer (12%), lung squamous cell carcinoma (SCC; 12%), and urothelial carcinoma cancer (11%)<sup>123-125</sup>. FGF19

mutations are rare in solid tumors<sup>123</sup>. FGF19 expression or amplification has been associated with poor prognosis in hepatocellular carcinoma (HCC)<sup>126-127</sup>, and in prostate cancer following radical prostatectomy<sup>128</sup>. Studies suggest FGF19 expression may also be a poor prognostic indicator in head and neck squamous cell carcinoma (HNSCC)<sup>129</sup> and lung SCC<sup>130</sup>.

**FINDING SUMMARY**

FGF19 encodes fibroblast growth factor 19, an FGFR4 ligand involved with bile acid synthesis and hepatocyte proliferation in the liver<sup>131-132</sup>. FGF19 lies in a region of chromosome 11q13 that also contains FGF3, FGF4, and CCND1; this region is frequently amplified in a diverse range of malignancies<sup>133</sup>. Correlation between FGF19 amplification and protein expression has been reported in hepatocellular carcinoma (HCC)<sup>134</sup>, lung squamous cell carcinoma<sup>130,135</sup>, and head and neck squamous cell carcinoma (HNSCC)<sup>129</sup>, but was not observed in other cancers<sup>121,136</sup>.

**GENE**

**TP53**

**ALTERATION**

A159P

**HGVS VARIANT**

NM\_000546.4: c.475G>C (p.A159P)

**VARIANT CHROMOSOMAL POSITION**

chr17:7578455

**VARIANT ALLELE FREQUENCY (% VAF)**

87.3%

**POTENTIAL TREATMENT STRATEGIES**

— Targeted Therapies —

There are no approved therapies to address TP53 mutation or loss. However, tumors with TP53 loss of function alterations may be sensitive to the WEE1 inhibitor adavosertib<sup>137-140</sup> or p53 gene therapy such as SGT53<sup>141-145</sup>. In a Phase 1 study, adavosertib in combination with gemcitabine, cisplatin, or carboplatin elicited PRs in 9.7% and SDs in 53% of patients with solid tumors; the response rate was 21% (4/19) for patients with TP53 mutations versus 12% (4/33) for patients who were TP53 wildtype<sup>146</sup>. A Phase 2 trial of adavosertib in combination with chemotherapy (gemcitabine, carboplatin, paclitaxel, or doxorubicin) reported a 32% (30/94, 3 CR) ORR and a 73% (69/94) DCR for patients with platinum-refractory TP53-mutated ovarian, Fallopian tube, or peritoneal cancer<sup>147</sup>. A smaller Phase 2 trial of adavosertib in combination with carboplatin achieved a 43% (9/21, 1 CR) ORR and a 76% (16/21) DCR for patients with platinum-refractory TP53-mutated ovarian cancer<sup>148</sup>. The combination of adavosertib with paclitaxel and carboplatin for patients with TP53-mutated ovarian cancer also significantly increased PFS compared with paclitaxel and carboplatin alone<sup>149</sup>. In the Phase 2 VIKTORY trial, patients with TP53-mutated metastatic and/or recurrent gastric cancer experienced a 24% (6/25) ORR with adavosertib

combined with paclitaxel<sup>150</sup>. A Phase 1 trial of neoadjuvant adavosertib in combination with cisplatin and docetaxel for head and neck squamous cell carcinoma (HNSCC) elicited a 71% (5/7) response rate for patients with TP53 alterations<sup>151</sup>. The Phase 2 FOCUS4-C trial for patients with TP53- and RAS-mutated colorectal cancer reported improvement in PFS (3.61 vs. 1.87 months, HR=0.35, p=0.0022), but not OS (14.0 vs 12.8 months, p=0.93), following adavosertib treatment compared with active monitoring<sup>152</sup>. In a Phase 1b clinical trial of SGT-53 in combination with docetaxel for patients with solid tumors, 75% (9/12) of evaluable patients experienced clinical benefit, including 2 confirmed and 1 unconfirmed PRs and 2 instances of SD with significant tumor shrinkage<sup>145</sup>. Missense mutations leading to TP53 inactivation may be sensitive to therapies that reactivate mutated p53 such as eprenetapopt. In a Phase 1b trial for patients with p53-positive high-grade serous ovarian cancer, eprenetapopt combined with carboplatin and pegylated liposomal doxorubicin achieved a 52% (11/21) response rate and 100% DCR<sup>153</sup>. A Phase 1 trial of eprenetapopt with pembrolizumab for patients with solid tumors reported an ORR of 10% (3/29)<sup>154</sup>.

**FREQUENCY & PROGNOSIS**

TP53 mutations have been reported in 30-31% of hepatocellular carcinoma (HCC) cases<sup>155-156</sup>. TP53 has been reported to be the most frequently mutated tumor suppressor in HCC, with mutations identified in 16-35% of cases<sup>134,157-158</sup>. Significantly higher rates of TP53 mutation have been reported in HCC associated with Hepatitis B or Hepatitis C infections compared to other types of HCC<sup>159-161</sup>. Expression of p53 has been variously identified in 35-96% of HCC cases<sup>157,162</sup>. Studies have reported that patients with hepatocellular carcinoma harboring TP53 mutations and/or p53 upregulation experienced significantly shorter recurrence-free survival and OS<sup>157,162-164</sup>.

**FINDING SUMMARY**

Functional loss of the tumor suppressor p53, which is encoded by the TP53 gene, is common in aggressive advanced cancers<sup>165</sup>. Alterations such as seen here may disrupt TP53 function or expression<sup>166-170</sup>.

**POTENTIAL GERMLINE IMPLICATIONS**

Germline mutations in TP53 are associated with the very rare autosomal dominant disorder Li-Fraumeni syndrome and the early onset of many cancers<sup>171-173</sup>, including sarcomas<sup>174-175</sup>. Estimates for the prevalence of germline TP53 mutations in the general population range from 1:5,000<sup>176</sup> to 1:20,000<sup>175</sup>. For pathogenic TP53 mutations identified during tumor sequencing, the rate of germline mutations was 1% in the overall population and 6% in tumors arising before age 30<sup>177</sup>. In the appropriate clinical context, germline testing of TP53 is recommended.

**POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS**

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion<sup>178-183</sup>. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy<sup>178-179</sup>. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease<sup>184</sup>. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH<sup>182,185-186</sup>. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

**NOTE** Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually

updated and should be investigated by the physician or research staff. This is not a comprehensive list of all available clinical trials. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial → Geographical proximity → Later trial phase. Clinical trials listed here may have additional enrollment criteria that

may require medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see [clinicaltrials.gov](https://clinicaltrials.gov). Or, visit <https://www.foundationmedicine.com/genomic-testing#support-services>.

**GENE**  
**CCND1**

**ALTERATION**  
amplification

**RATIONALE**  
CCND1 amplification or overexpression may activate CDK4/6 and may predict sensitivity to single-agent CDK4/6 inhibitors.

**NCT04282031**

**PHASE 1/2**

A Study of BPI-1178 in Patients With Advanced Solid Tumor and HR+/HER2- Breast Cancer

**TARGETS**  
CDK6, CDK4, ER, Aromatase

**LOCATIONS:** Shanghai (China)

**NCT04801966**

**PHASE NULL**

Safety and Oversight of the Individually Tailored Treatment Approach: A Novel Pilot Study

**TARGETS**  
CDK4, CDK6, PI3K-alpha, PD-L1, MEK, PARP, PD-1, BRAF

**LOCATIONS:** Melbourne (Australia)

**NCT03297606**

**PHASE 2**

Canadian Profiling and Targeted Agent Utilization Trial (CAPTUR)

**TARGETS**  
VEGFRs, ABL, SRC, ALK, ROS1, AXL, TRKA, MET, TRKC, DDR2, KIT, EGFR, PD-1, CTLA-4, PARP, CDK4, CDK6, FLT3, CSF1R, RET, mTOR, ERBB2, MEK, BRAF, SMO

**LOCATIONS:** Edmonton (Canada), Saskatoon (Canada), Vancouver (Canada), Kelowna (Canada), Regina (Canada), Montreal (Canada), Ottawa (Canada), Kingston (Canada), Toronto (Canada), London (Canada)

**NCT05252416**

**PHASE 1/2**

(VELA) Study of BLU-222 in Advanced Solid Tumors

**TARGETS**  
ER, CDK4, CDK6, CDK2

**LOCATIONS:** Massachusetts, New York, Illinois, Virginia, Arkansas, Florida, Texas

**NCT02896335**

**PHASE 2**

Palbociclib In Progressive Brain Metastases

**TARGETS**  
CDK4, CDK6

**LOCATIONS:** Massachusetts

**NCT03454035**

**PHASE 1**

Ulixertinib/Palbociclib in Patients With Advanced Pancreatic and Other Solid Tumors

**TARGETS**  
MAPK3, MAPK1, CDK4, CDK6

**LOCATIONS:** North Carolina

**GENE**  
**CHEK2**

**ALTERATION**  
loss exons 3-15

**RATIONALE**  
On the basis of clinical evidence in prostate and other solid cancers, CHEK2 loss or inactivation may confer sensitivity to PARP inhibitors.

**NCT04123366**

**PHASE 2**

Study of Olaparib (MK-7339) in Combination With Pembrolizumab (MK-3475) in the Treatment of Homologous Recombination Repair Mutation (HRRm) and/or Homologous Recombination Deficiency (HRD)-Positive Advanced Cancer (MK-7339-007/KEYLYNK-007)

**TARGETS**  
PARP, PD-1

**LOCATIONS:** Malatya (Turkey), Adana (Turkey), Jerusalem (Israel), Kfar Saba (Israel), Petah Tikva (Israel), Ramat Gan (Israel), Tel Aviv (Israel), Haifa (Israel), Ankara (Turkey), Konya (Turkey)

**NCT03742895**

**PHASE 2**

Efficacy and Safety of Olaparib (MK-7339) in Participants With Previously Treated, Homologous Recombination Repair Mutation (HRRm) or Homologous Recombination Deficiency (HRD) Positive Advanced Cancer (MK-7339-002 / LYNK-002)

**TARGETS**  
PARP

**LOCATIONS:** Adana (Turkey), Jerusalem (Israel), Ramat Gan (Israel), Konya (Turkey), Antalya (Turkey), Istanbul (Turkey), Izmir (Turkey), Seoul (Korea, Republic of), Seongnam-si (Korea, Republic of), Edirne (Turkey)

**NCT05035745**

**PHASE 1/2**

Selinexor & Talazoparib in Advanced Refractory Solid Tumors; Advanced/Metastatic Triple Negative Breast Cancer (START)

**TARGETS**  
XPO1, PARP

**LOCATIONS:** Singapore (Singapore)

**NCT02264678**

**PHASE 1/2**

Ascending Doses of AZD6738 in Combination With Chemotherapy and/or Novel Anti Cancer Agents

**TARGETS**  
ATR, PARP, PD-L1

**LOCATIONS:** Goyang-si (Korea, Republic of), Seoul (Korea, Republic of), Seongnam-si (Korea, Republic of), Lyon Cedex 08 (France), Villejuif (France), Cambridge (United Kingdom), London (United Kingdom), Sutton (United Kingdom), Oxford (United Kingdom), Coventry (United Kingdom)

**NCT03772561**

**PHASE 1**

Phase I Study of AZD5363 + Olaparib + Durvalumab in Patients With Advanced or Metastatic Solid Tumor Malignancies

**TARGETS**  
PARP, AKTs, PD-L1

**LOCATIONS:** Singapore (Singapore)

**NCT04801966**

**PHASE NULL**

Safety and Oversight of the Individually Tailored Treatment Approach: A Novel Pilot Study

**TARGETS**  
CDK4, CDK6, PI3K-alpha, PD-L1, MEK, PARP, PD-1, BRAF

**LOCATIONS:** Melbourne (Australia)



**NCT04991480**

**PHASE 1/2**

A Study of ART4215 for the Treatment of Advanced or Metastatic Solid Tumors

**TARGETS**  
PARP, Pol theta

**LOCATIONS:** London (United Kingdom), Connecticut, New York, Pennsylvania, Tennessee, Oklahoma, Florida, Texas

**NCT03297606**

**PHASE 2**

Canadian Profiling and Targeted Agent Utilization Trial (CAPTUR)

**TARGETS**  
VEGFRs, ABL, SRC, ALK, ROS1, AXL, TRKA, MET, TRKC, DDR2, KIT, EGFR, PD-1, CTLA-4, PARP, CDK4, CDK6, FLT3, CSF1R, RET, mTOR, ERBB2, MEK, BRAF, SMO

**LOCATIONS:** Edmonton (Canada), Saskatoon (Canada), Vancouver (Canada), Kelowna (Canada), Regina (Canada), Montreal (Canada), Ottawa (Canada), Kingston (Canada), Toronto (Canada), London (Canada)

**NCT02693535**

**PHASE 2**

TAPUR: Testing the Use of Food and Drug Administration (FDA) Approved Drugs That Target a Specific Abnormality in a Tumor Gene in People With Advanced Stage Cancer

**TARGETS**  
CDK4, CDK6, FLT3, VEGFRs, CSF1R, KIT, RET, mTOR, ERBB2, MEK, BRAF, PARP, PD-1, CTLA-4, PD-L1, TRKB, ALK, TRKC, ROS1, TRKA, FGFRs

**LOCATIONS:** Maine, Washington

**NCT04992013**

**PHASE 2**

Niraparib in Tumors Metastatic to the CNS

**TARGETS**  
PARP

**LOCATIONS:** Massachusetts

**NOTE** One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

**ATM**  
amplification

**BCL6**  
NM\_001706.4: c.234C>G  
(p.I78M)  
chr3:187449646

**ERG**  
NM\_182918.3: c.823C>T  
(p.P275S)  
chr21:39763629

**HNF1A**  
loss

**KDM5A**  
NM\_001042603.1: c.3311A>G  
(p.D1104G)  
chr12:419036

**MED12**  
NM\_005120.2:  
c.6348\_6359dup  
(p.H2116\_Q2119dup)  
chrX:70361151

**NTRK1**  
NM\_002529.3: c.617A>T  
(p.D206V)  
chr1:156838339

**PRKN (PARK2)**  
NM\_004562.2: c.125G>A  
(p.R42H)  
chr6:162864388

**RAD51D**  
NM\_002878.3: c.29C>T  
(p.P10L)  
chr17:33446604

**ROS1**  
NM\_002944.2: c.3139C>A  
(p.P1047T)  
chr6:117684008

FoundationOne CDx is designed to include genes known to be somatically altered in human solid tumors that are validated targets for therapy, either approved or in clinical trials, and/or that are unambiguous drivers of oncogenesis based on current knowledge. The current assay interrogates 324 genes as well as introns of 36 genes involved in rearrangements. The assay will be updated periodically to reflect new knowledge about cancer biology.

**DNA GENE LIST: ENTIRE CODING SEQUENCE FOR THE DETECTION OF BASE SUBSTITUTIONS, INSERTION/DELETIONS, AND COPY NUMBER ALTERATIONS**

|              |                 |                       |                |         |                 |                |                        |                  |
|--------------|-----------------|-----------------------|----------------|---------|-----------------|----------------|------------------------|------------------|
| ABL1         | ACVR1B          | AKT1                  | AKT2           | AKT3    | ALK             | ALOX12B        | AMER1 (FAM123B or WTX) |                  |
| APC          | AR              | ARAF                  | ARFRP1         | ARID1A  | ASXL1           | ATM            | ATR                    | ATRX             |
| AURKA        | AURKB           | AXIN1                 | AXL            | BAP1    | BARD1           | BCL2           | BCL2L1                 | BCL2L2           |
| BCL6         | BCOR            | BCORL1                | BRAF           | BRCA1   | BRCA2           | BRD4           | BRIP1                  | BTG1             |
| BTG2         | BTK             | CALR                  | CARD11         | CASP8   | CBFB            | CBL            | CCND1                  | CCND2            |
| CCND3        | CCNE1           | CD22                  | CD274 (PD-L1)  | CD70    | CD79A           | CD79B          | CDC73                  | CDH1             |
| CDK12        | CDK4            | CDK6                  | CDK8           | CDKN1A  | CDKN1B          | CDKN2A         | CDKN2B                 | CDKN2C           |
| CEBPA        | CHEK1           | CHEK2                 | CIC            | CREBBP  | CRKL            | CSF1R          | CSF3R                  | CTCF             |
| CTNNA1       | CTNNB1          | CUL3                  | CUL4A          | CXCR4   | CYP17A1         | DAXX           | DDR1                   | DDR2             |
| DIS3         | DNMT3A          | DOT1L                 | EED            | EGFR    | EMSY (C11orf30) | EP300          | EPHA3                  | EPHB1            |
| EPHB4        | ERBB2           | ERBB3                 | ERBB4          | ERCC4   | ERG             | ERRF1          | ESR1                   | EZH2             |
| FANCA        | FANCC           | FANCG                 | FANCL          | FAS     | FBXW7           | FGF10          | FGF12                  | FGF14            |
| FGF19        | FGF23           | FGF3                  | FGF4           | FGF6    | FGFR1           | FGFR2          | FGFR3                  | FGFR4            |
| FH           | FLCN            | FLT1                  | FLT3           | FOXL2   | FUBP1           | GABRA6         | GATA3                  | GATA4            |
| GATA6        | GID4 (C17orf39) | GNA11                 | GNA13          | GNAQ    | GNAS            | GRM3           | GSK3B                  | H3-3A (H3F3A)    |
| HDAC1        | HGF             | HNF1A                 | HRAS           | HSD3B1  | ID3             | IDH1           | IDH2                   | IGF1R            |
| IKBKE        | IKZF1           | INPP4B                | IRF2           | IRF4    | IRS2            | JAK1           | JAK2                   | JAK3             |
| JUN          | KDM5A           | KDM5C                 | KDM6A          | KDR     | KEAP1           | KEL            | KIT                    | KLHL6            |
| KMT2A (MLL)  | KMT2D (MLL2)    | KRAS                  | LTK            | LYN     | MAF             | MAP2K1 (MEK1)  | MAP2K2 (MEK2)          | MAP2K4           |
| MAP3K1       | MAP3K13         | MAPK1                 | MCL1           | MDM2    | MDM4            | MED12          | MEF2B                  | MEN1             |
| MERTK        | MET             | MITF                  | MKKN1          | MLH1    | MPL             | MRE11 (MRE11A) | MSH2                   | MSH3             |
| MSH6         | MST1R           | MTAP                  | MTOR           | MUTYH   | MYC             | MYCL (MYCL1)   | MYCN                   | MYD88            |
| NBN          | NF1             | NF2                   | NFE2L2         | NFKBIA  | NKX2-1          | NOTCH1         | NOTCH2                 | NOTCH3           |
| NPM1         | NRAS            | NSD2 (WHSC1 or MMSET) | NSD3 (WHSC1L1) | NT5C2   | NTRK1           | NTRK2          | NTRK3                  | NTRK3            |
| P2RY8        | PALB2           | PARP1                 | PARP2          | PARP3   | PAX5            | PBRM1          | PDCD1 (PD-1)           | PDCD1LG2 (PD-L2) |
| PDGFRA       | PDGFRB          | PDK1                  | PIK3C2B        | PIK3C2G | PIK3CA          | PIK3CB         | PIK3R1                 | PIM1             |
| PMS2         | POLD1           | POLE                  | PPARG          | PPP2R1A | PPP2R2A         | PRDM1          | PRKAR1A                | PRKCI            |
| PRKN (PARK2) | PTCH1           | PTEN                  | PTPN11         | PTPRO   | QKI             | RAC1           | RAD21                  | RAD51            |
| RAD51B       | RAD51C          | RAD51D                | RAD52          | RAD54L  | RAF1            | RARA           | RB1                    | RBM10            |
| REL          | RET             | RICTOR                | RNF43          | ROS1    | RPTOR           | SDHA           | SDHB                   | SDHC             |
| SDHD         | SETD2           | SF3B1                 | SGK1           | SMAD2   | SMAD4           | SMARCA4        | SMARCB1                | SMT              |
| SNCAIP       | SOC3            | SOX2                  | SOX9           | SPEN    | SPOP            | SRC            | STAG2                  | STAT3            |
| STK11        | SUFU            | SYK                   | TBX3           | TEK     | TENT5C (FAM46C) | TET2           | TET2                   | TGFBR2           |
| TIPARP       | TNFAIP3         | TNFRSF14              | TP53           | TSC1    | TSC2            | TYRO3          | U2AF1                  | VEGFA            |
| VHL          | WT1             | XPO1                  | XRCC2          | ZNF217  | ZNF703          |                |                        |                  |

**DNA GENE LIST: FOR THE DETECTION OF SELECT REARRANGEMENTS**


|      |      |       |        |       |         |       |        |             |
|------|------|-------|--------|-------|---------|-------|--------|-------------|
| ALK  | BCL2 | BCR   | BRAF   | BRCA1 | BRCA2   | CD74  | EGFR   | ETV4        |
| ETV5 | ETV6 | EWSR1 | EZR    | FGFR1 | FGFR2   | FGFR3 | KIT    | KMT2A (MLL) |
| MSH2 | MYB  | MYC   | NOTCH2 | NTRK1 | NTRK2   | NUTM1 | PDGFRA | RAF1        |
| RARA | RET  | ROS1  | RSPO2  | SDC4  | SLC34A2 | TERC* | TERT** | TMPRSS2     |

\*TERC is an NCRNA

\*\*Promoter region of TERT is interrogated

**ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS**

- Homologous Recombination status
- Loss of Heterozygosity (LOH) score
- Microsatellite (MS) status
- Tumor Mutational Burden (TMB)

FoundationOne CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a., Ciplastraat 3, 2440 Geel, Belgium. 

#### ABOUT FOUNDATIONONE CDx

FoundationOne CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform high-complexity clinical testing.

Please refer to technical information for performance specification details:  
[www.rochefoundationmedicine.com/f1cdxtech](http://www.rochefoundationmedicine.com/f1cdxtech).

#### INTENDED USE

FoundationOne®CDx (F1CDx) is a next generation sequencing based in vitro diagnostic device for detection of substitutions, insertion and deletion alterations (indels), and copy number alterations (CNAs) in 324 genes and select gene rearrangements, as well as genomic signatures including microsatellite instability (MSI), tumor mutational burden (TMB), and for selected forms of ovarian cancer, loss of heterozygosity (LOH) score, using DNA isolated from formalin-fixed, paraffin-embedded (FFPE) tumor tissue specimens. The test is intended as a companion diagnostic to identify patients who may benefit from treatment with therapies in accordance with approved therapeutic product labeling. Additionally, F1CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with solid malignant neoplasms.

#### TEST PRINCIPLE

FoundationOne CDx will be performed exclusively as a laboratory service using DNA extracted from formalin-fixed, paraffin-embedded (FFPE) tumor samples. The proposed assay will employ a single DNA extraction method from routine FFPE biopsy or surgical resection specimens, 50-1000 ng of which will undergo whole-genome shotgun library construction and hybridization-based capture of all coding exons from 309 cancer-related genes, one promoter region, one non-coding (ncRNA), and select intronic regions from 34 commonly rearranged genes, 21 of which also include the coding exons. The assay therefore includes

detection of alterations in a total of 324 genes.

Using an Illumina® HiSeq platform, hybrid capture-selected libraries will be sequenced to high uniform depth (targeting >500X median coverage with >99% of exons at coverage >100X). Sequence data will be processed using a customized analysis pipeline designed to accurately detect all classes of genomic alterations, including base substitutions, indels, focal copy number amplifications, homozygous gene deletions, and selected genomic rearrangements (e.g., gene fusions). Additionally, genomic signatures including loss of heterozygosity (LOH), microsatellite instability (MSI) and tumor mutational burden (TMB) will be reported.

#### THE REPORT

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. The F1CDx report may be used as an aid to inform molecular eligibility for clinical trials. Note: A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

#### Diagnostic Significance

FoundationOne CDx identifies alterations to select cancer-associated genes or portions of genes (biomarkers). In some cases, the Report also highlights selected negative test results regarding biomarkers of clinical significance.

#### Qualified Alteration Calls (Equivocal and Subclonal)

An alteration denoted as "amplification - equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence that the copy number of a gene exceeds the threshold for identifying copy number amplification. The threshold used in FoundationOne CDx for identifying a copy number amplification is four (4) for *ERBB2* and six (6) for all other genes. Conversely, an alteration denoted as "loss - equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence for homozygous deletion of the gene in question. An alteration denoted as "subclonal" is one that the FoundationOne CDx analytical

methodology has identified as being present in <10% of the assayed tumor DNA.

#### Ranking of Therapies and Clinical Trials

*Ranking of Therapies in Summary Table*  
Therapies are ranked based on the following criteria: Therapies with clinical benefit (ranked alphabetically within each evidence category), followed by therapies associated with resistance (when applicable).

#### Ranking of Clinical Trials

Pediatric trial qualification → Geographical proximity → Later trial phase.

#### NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) ([www.nccn.org](http://www.nccn.org)). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories, please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2023. All rights reserved. To view the most recent and complete version of the guidelines, go online to [NCCN.org](http://NCCN.org). NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

#### Limitations

1. In the fraction-based MSI algorithm, a tumor specimen will be categorized as MSI-H, MSS, or MS-Equivocal according to the fraction of microsatellite loci determined to be altered or unstable (i.e., the fraction unstable loci score). In the F1CDx assay, MSI is evaluated based on a genome-wide analysis across >2000 microsatellite loci. For a given microsatellite locus, non-somatic alleles are discarded, and the microsatellite is categorized as unstable if remaining alleles differ from the reference genome. The final fraction unstable loci score is calculated as the number of unstable microsatellite loci divided by the number of evaluable microsatellite loci. The MSI-H and MSS cut-off thresholds were determined by

analytical concordance to a PCR comparator assay using a pan-tumor FFPE tissue sample set. Patients with results categorized as “MS-Stable” with median exon coverage <300X, “MS-Equivocal,” or “Cannot Be Determined” should receive confirmatory testing using a validated orthogonal (alternative) method.

2. TMB by F1CDx is determined by counting all synonymous and non-synonymous variants present at 5% allele frequency or greater (after filtering) and the total number is reported as mutations per megabase (mut/Mb) unit. Observed TMB is dependent on characteristics of the specific tumor focus tested for a patient (e.g., primary vs. metastatic, tumor content) and the testing platform used for the detection; therefore, observed TMB results may vary between different specimens for the same patient and between detection methodologies employed on the same sample. The TMB calculation may differ from TMB calculations used by other assays depending on variables such as the amount of genome interrogated, percentage of tumor, assay limit of detection (LoD), filtering of alterations included in the score, and the read depth and other bioinformatic test specifications. Refer to the SSED for a detailed description of these variables in FMI’s TMB calculation [https://www.accessdata.fda.gov/cdrh\\_docs/pdf17/P170019B.pdf](https://www.accessdata.fda.gov/cdrh_docs/pdf17/P170019B.pdf). The clinical validity of TMB defined by this panel has been established for TMB as a qualitative output for a cut-off of 10 mutations per megabase but has not been established for TMB as a quantitative score.
3. Homologous Recombination status may be reported for epithelial ovarian, peritoneal, or Fallopian tube carcinomas (Coleman et al., 2017; 28916367). Samples with deleterious *BRCA1/2* alteration and/or Loss of Heterozygosity (LOH) score  $\geq 16\%$  will be reported as “HRD Positive” and samples with absence of these findings will be reported as “HRD Not Detected,” agnostic of potential secondary *BRCA1/2* reversion alterations. Certain potentially deleterious missense or small in-frame deletions in *BRCA1/2* may not be classified as deleterious and, in the absence of an elevated LOH profile, samples with such mutations may be classified as “HRD Not Detected.” A result of “HRD Not Detected” does not rule out the presence of a *BRCA1/2* alteration or an elevated LOH profile outside the assay performance characteristic limitations.
4. The LOH score is determined by analyzing SNPs spaced at 1Mb intervals across the genome on the FoundationOne CDx test and

extrapolating an LOH profile, excluding arm- and chromosome-wide LOH segments.

Detection of LOH has been verified only for ovarian cancer patients, and the LOH score result may be reported for epithelial ovarian, peritoneal, or Fallopian tube carcinomas. The LOH score will be reported as “Cannot Be Determined” if the sample is not of sufficient quality to confidently determine LOH.

Performance of the LOH classification has not been established for samples below 35% tumor content. There may be potential interference of ethanol with LOH detection. The interfering effects of xylene, hemoglobin, and triglycerides on the LOH score have not been demonstrated.

5. Alterations reported may include somatic (not inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. The test does not provide information about susceptibility.
6. Biopsy may pose a risk to the patient when archival tissue is not available for use with the assay. The patient’s physician should determine whether the patient is a candidate for biopsy.
7. Reflex testing to an alternative FDA approved companion diagnostic should be performed for patients who have an *ERBB2* amplification result detected with copy number equal to 4 (baseline ploidy of tumor +2) for confirmatory testing. While this result is considered negative by FoundationOne®CDx (F1CDx), in a clinical concordance study with an FDA approved FISH test, 70% (7 out of 10 samples) were positive, and 30% (3 out of 10 samples) were negative by the FISH test with an average ratio of 2.3. The frequency of *ERBB2* copy number 4 in breast cancer is estimated to be approximately 2%. Multiple references listed in <https://www.mycancergenome.org/content/disease/breast-cancer/ERBB2/238/> report the frequency of *HER2* overexpression as 20% in breast cancer. Based on the F1CDx *HER2* CDx concordance study, approximately 10% of *HER2* amplified samples had copy number 4. Thus, total frequency is conservatively estimated to be approximately 2%.

### REPORT HIGHLIGHTS

The Report Highlights includes select genomic and therapeutic information with potential impact on patient care and treatment that is specific to the genomics and tumor type of the sample analyzed. This section may highlight information including targeted therapies with potential sensitivity or resistance; evidence-matched clinical trials; and variants with potential diagnostic, prognostic, nontargeted treatment, germline, or clonal

hematopoiesis implications. Information included in the Report Highlights is expected to evolve with advances in scientific and clinical research.

Findings included in the Report Highlights should be considered in the context of all other information in this report and other relevant patient information. Decisions on patient care and treatment are the responsibility of the treating physician.

### VARIANT ALLELE FREQUENCY

Variant Allele Frequency (VAF) represents the fraction of sequencing reads in which the variant is observed. This attribute is not taken into account for therapy inclusion, clinical trial matching, or interpretive content. Caution is recommended in interpreting VAF to indicate the potential germline or somatic origin of an alteration, recognizing that tumor fraction and tumor ploidy of samples may vary.

*Precision of VAF for base substitutions and indels*

| BASE SUBSTITUTIONS | %CV*         |
|--------------------|--------------|
| Repeatability      | 5.11 - 10.40 |
| Reproducibility    | 5.95 - 12.31 |
| INDELS             | %CV*         |
| Repeatability      | 6.29 - 10.00 |
| Reproducibility    | 7.33 - 11.71 |

\*Interquartile Range = 1st Quartile to 3rd Quartile

### VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of follow-up germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of >10%, and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are *ATM*, *BAP1*, *BRCA1*, *BRCA2*, *BRIP1*, *CHEK2*, *FH*, *FLCN*, *MLH1*, *MSH2*, *MSH6*, *MUTYH*, *PALB2*, *PMS2*, *POLE*, *RAD51C*, *RAD51D*, *RET*, *SDHA*, *SDHB*, *SDHC*, *SDHD*, *TSC2*, and *VHL*, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to distinguish whether a finding in this patient’s

tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

**VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS**

Variants that may represent clonal hematopoiesis (CH) are limited to select reportable short variants in defined genes identified in solid tumors only. Variant selection was determined based on gene tumor-suppressor or oncogene status, known role in solid tumors versus hematological malignancies, and literature prevalence. The defined genes are *ASXL1*, *CBL*, *DNMT3A*, *IDH2*, *JAK2*, *KMT2D (MLL2)*, *MPL*, *MYD88*, *SF3B1*, *TET2*, and *U2AF1* and are not inclusive of all CH genes. The content in this report should not substitute for dedicated hematological workup. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH. Interpretation should be based on clinical context.

**LEVEL OF EVIDENCE NOT PROVIDED**

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

**NO GUARANTEE OF CLINICAL BENEFIT**

This Report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This Report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

**NO GUARANTEE OF REIMBURSEMENT**

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne CDx.

**TREATMENT DECISIONS ARE RESPONSIBILITY OF PHYSICIAN**

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking

into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this Test, or the information contained in this Report. Certain sample or variant characteristics may result in reduced sensitivity. FoundationOne CDx is performed using DNA derived from tumor, and as such germline events may not be reported.

**SELECT ABBREVIATIONS**

| ABBREVIATION | DEFINITION                  |
|--------------|-----------------------------|
| CR           | Complete response           |
| DCR          | Disease control rate        |
| DNMT         | DNA methyltransferase       |
| HR           | Hazard ratio                |
| ITD          | Internal tandem duplication |
| MMR          | Mismatch repair             |
| muts/Mb      | Mutations per megabase      |
| NOS          | Not otherwise specified     |
| ORR          | Objective response rate     |
| OS           | Overall survival            |
| PD           | Progressive disease         |
| PFS          | Progression-free survival   |
| PR           | Partial response            |
| SD           | Stable disease              |
| TKI          | Tyrosine kinase inhibitor   |

**REFERENCE SEQUENCE INFORMATION**

Sequence data is mapped to the human genome, Genome Reference Consortium Human Build 37 (GRCh37), also known as hg19.

MR Suite Version (RG) 7.8.0

The median exon coverage for this sample is 875x

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## PD-L1 IMMUNOHISTOCHEMISTRY (IHC) ANALYSIS (Dako 22C3 pharmDx™)

### Patient Result

### Tumor Proportion Score (TPS) (%)\*

\* See tables 1 and 2 for interpretation.

Electronically signed by: \_\_\_\_\_ Date: \_\_\_\_\_

**Table 1: TPS Companion Diagnostic Indications**

| Tumor Indication                   | PD-L1 Expression Level | Intended Use  |
|------------------------------------|------------------------|---|
| Non-Small Cell Lung Cancer (NSCLC) | TPS ≥1%                | PD-L1 IHC 22C3 pharmDx™ is indicated as an aid in identifying NSCLC patients for treatment with KEYTRUDA® (pembrolizumab).† |
|                                    | TPS ≥50%               | PD-L1 IHC 22C3 pharmDx™ is indicated as an aid in identifying NSCLC patients for treatment with LIBTAYO® (cemiplimab).‡     |

† See the KEYTRUDA® product label for specific clinical circumstances guiding PD-L1 testing.

‡ See the LIBTAYO® product label for specific clinical circumstances guiding PD-L1 testing.

**Table 2: Other Tumor Types**

| Tumor Indication                    | PD-L1 Expression Level   | Intended Use |
|-------------------------------------|--|--------------|
| Non-Companion Diagnostic Tumor Type | TPS cut-off criteria for other tumor types have not been defined for this assay by the US FDA. | N/A          |

### Methodology

PD-L1 IHC 22C3 pharmDx™ is a qualitative immunohistochemical assay using mouse monoclonal anti-PD-L1. Clone 22C3 is intended for use in the detection of PD-L1 protein in formalin-fixed, paraffin-embedded (FFPE) non-small cell lung cancer (NSCLC), esophageal squamous cell carcinoma (ESCC), cervical cancer, head and neck squamous cell carcinoma (HNSCC), and triple-negative breast cancer (TNBC) tissues using EnVision FLEX visualization system on Autostainer Link 48. PD-L1 protein expression in NSCLC is determined by using Tumor Proportion Score (TPS), which is the percentage of viable tumor cells showing partial or complete membrane staining at any intensity. This product is intended for in vitro diagnostic use. For additional information, refer to the PD-L1 IHC 22C3 pharmDx™ Package Insert.

### Clinical Significance of PD-L1 Protein Expression

Programmed death-ligand 1 (PD-L1), expressed on tumor cells and tumor-infiltrating immunocytes, mediates an immune checkpoint by binding to its receptors, programmed death 1 (PD-1) and B7-1, on activated T cells<sup>1-4</sup>. This checkpoint represses T-cell function and can therefore lead to evasion of anti-tumor immunity. On the basis of extensive clinical evidence in various tumor types, PD-L1-positive tumors are more likely to respond to PD-1/PD-L1 checkpoint inhibitors; however, patients with PD-L1-negative tumors may also derive benefit from these agents<sup>4-14</sup>. Checkpoint inhibitors such as the PD-1 antibodies cemiplimab, nivolumab, and pembrolizumab and the PD-L1 antibodies atezolizumab, avelumab, and durvalumab are US FDA approved to treat various tumor types.

### Note

This test has been cleared or approved by the U.S. Food and Drug Administration and is used per manufacturer's instructions. Performance characteristics were verified by Foundation Medicine, Inc. per Clinical Laboratory Improvement Amendments (CLIA '88) requirements and in accordance with the College of American Pathologists (CAP).

### General Limitations

- Immunohistochemical analysis is dependent on the handling and processing of tissue prior to staining; false negative or inconsistent results may be a consequence of pre-analytic variations.
- As with any immunohistochemistry test, a negative result means that the antigen was not detected, not that the antigen was absent in the cells or tissue assayed.
- For additional information and comprehensive list of limitations, refer to the PD-L1 IHC 22C3 pharmDx™ Package Insert.

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